



Lawrence Au

Member of the Market Advisory Group

A recognised pioneer and thought-leader of Asia's securities services industry, Mr Lawrence Au has spent 40 years at the forefront of the region's financial sector development since the early 1980s. His passion lies in driving growth and innovation in this dynamic and ever-evolving landscape.

The highlight of his career was his 16-year tenure as Asia Pacific CEO at BNP Paribas Securities Services and Northern Trust during their formative years. He successfully established their businesses from the ground up, establishing them as market leaders. In his final year as CEO, he provided strategic direction to over 2,000 staff across eight countries and ten offices.

As a licensed Responsible Officer for financial entities in Hong Kong, Singapore, India, and Australia, he has also developed deep expertise in corporate governance and regulatory compliance.

Earlier in his career, he held senior roles at major global financial institutions, including JP Morgan, The Bank of New York, Chase Manhattan Bank, Security Pacific National Bank, and First National Bank of Chicago.

Currently, he continues his contribution to the growth and innovation of the industry as a member of The Securities Services Advisory Group and Singapore Fund Directors Association.

In recognition of his contributions, he has been honoured with prestigious industry awards including Global Custodian's *"Industry Legend Award"* (2023) and Asia Asset Management's *"Lifetime Achievement Award"* (2016). He is particularly proud to have received the *"Vice Chancellor Alumni Excellence Award"* (2020) from The University of Queensland, his alma mater in Australia.

Inspired by the evolution of Asia's financial industry over my four-decade career, he authored two books documenting its key developments. His first book *"Asia's Financial Industry 1986-2016"* (<https://a.co/d/fjIhh2R>), available in English and Chinese, ranked among the top 20 in Amazon Kindle's banking category and is included in collections at prestigious universities and public libraries worldwide. His second book *"From Physical to Digital: Asia's Securities Services Developments 1995-2021"* (<https://a.co/d/0f5ulZ9P>) was published in 2022.

Since retiring from corporate life in 2018, he has remained actively engaged through business consulting, publishing articles, lecturing at universities, mentoring aspiring professionals and startups, and supporting philanthropic initiatives, which allow him to share his experiences and give back to the community.